

# FINANCIAL SERVICES PROFESSIONAL APPRENTICESHIP

**Program Number:** 50-114-1

Apprenticeship

**Campus:** Beaver Dam, Fond du Lac, West Bend, Online

This program is **not** eligible for financial aid

## About the Program

A financial services professional identifies prospective individuals and businesses to uncover needs, and implements strategies to meet client needs, and create and advise on financial plans.

## What You'll Learn

Students will learn about financial products and services, lending regulations and practices, client relationship management, and marketing and brand management.

## Additional Information

Learn more at [www.wisconsinapprenticeship.org](http://www.wisconsinapprenticeship.org) (<https://dwd.wisconsin.gov/apprenticeship/>)

## Application/Admission Information

Students interested in an apprenticeship do not complete standard admissions with Moraine Park.

Interested students/employers should contact the training representative listed below to start the apprentice/employer apprenticeship contract application:

Lavelle Gill, Apprenticeship Training Representative

Phone: (262) 340-1143

Email: [almonl.gill@dwd.wisconsin.gov](mailto:almonl.gill@dwd.wisconsin.gov)

Learn more at [www.wisconsinapprenticeship.org](http://www.wisconsinapprenticeship.org) (<https://dwd.wisconsin.gov/apprenticeship/>)

## Application Requirements

Registered Wisconsin Apprentice

Special Provisions: Complete Series 6 Certification

Minimum Qualifications:

- Be at least 18 years of age
- Have a high school diploma, GED or equivalency
- Have a valid government-issued photo identification

## Approximate Costs

**Tuition**

Occupational

- \$149.50 per credit (resident)
- \$224.25 per credit (out-of-state resident)

Associate of Arts/Associate of Science

- \$188.90 per credit (resident)
- \$283.35 per credit (out-of-state resident)

Online students are not charged out-of-state fees.

**Student Fees**

- \$5.00 minimum per course Material Fee
- \$13.45 per-credit Supplemental Fee for Undergraduate courses
- \$4.50 per term mandatory Student Accident Insurance Fee

Please refer to Tuition & Fee Information (<https://catalog.morainepark.edu/admissions-registration/tuition-fee-information/>) for additional enrollment fee information.

## Training Period

- 1-year apprenticeship under the hybrid model (both time-based and competency-based)
- 2,000 hours on-the-job training
- 144 hours of paid related instruction
- Complete Transition to Trainer course in the final year

## Working Conditions

Financial services professionals generally work in an office environment and spend most of their time using computers and viewing computer monitors.

## Course Requirements

Course	Title	Credits
<b>Year 1</b>		
<b>Semester 1</b>		
114-101	Financial and Insurance Fundamentals	1
114-103	Financial Service Lines	3
<b>Credits</b>		<b>4</b>
<b>Semester 2</b>		
104-102	Marketing Principles	3
114-109	Securities Industry - Concepts to Compliance	3
<b>Credits</b>		<b>6</b>
<b>Total Credits</b>		<b>10</b>

Students must take course 47-455-455 Transition to Trainer, Your Role as a Journeyworker (complete in the final year).

## Program Outcomes

- Prospect for business
- Convert prospects to clients
- Manage client relationships
- Maintain ongoing professional development
- Maintain compliance documentation

## Career Opportunities

- Financial Planner
- Financial Advisor
- Service Representative
- Investment Advisor

- Wealth Management Advisor
- Insurance Agent
- Financial Representative